

## MAYER-VIETORIS SEQUENCES AND BRAUER GROUPS OF NONNORMAL DOMAINS

BY

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**ABSTRACT.** Let  $R$  be a Noetherian domain with finite integral closure  $\bar{R}$ . We study the map from the Brauer group of  $R$ ,  $B(R)$ , to  $B(\bar{R})$ : first, by embedding  $B(R)$  into the Čech étale cohomology group  $H^2(R, U)$  and using a Mayer-Vietoris sequence for Čech cohomology of commutative rings; second, via Milnor's theorem from algebraic  $K$ -theory. We apply our results to show, i.e., that if  $R$  is a domain with quotient field  $K$  a global field, then the map from  $B(R)$  to  $B(K)$  is 1-1.

Let  $R$  be a Noetherian integral domain with finite integral closure  $\bar{R}$ , conductor  $c$  and quotient field  $K$ . The object of this paper is to try to describe relationships between the Brauer group of  $R$ ,  $B(R)$ , and  $B(\bar{R})$ ,  $B(R/c)$ , and  $B(K)$ . Questions of this kind were considered by M. Auslander and O. Goldman, who showed that if  $R = \bar{R}$  is regular, the map from  $B(R)$  to  $B(K)$  is 1-1.

Our approach in the first three sections is to glean information from a long exact Mayer-Vietoris sequence of Čech cohomology. This sequence extends a six-term Mayer-Vietoris  $K$ -theory sequence for the category  $\text{Pic}$  of Milnor and Bass, and when  $B(R)$  is isomorphic to the second étale cohomology group with coefficients in the sheaf of units (multiplicative group) the extended sequence describes the kernel and image of the map from  $B(R)$  to  $B(\bar{R}) \oplus B(R/c)$ . In particular, for  $R$  of dimension 1 the kernel is trivial.

When  $R$  has dimension 1,  $\bar{R}$  is regular, so the only candidates for elements in the kernel of the map from  $B(R)$  to  $B(K)$  are elements of  $B(R)$  which become trivial in  $B(\bar{R})$  but not in  $B(R/c)$ . Auslander and Goldman's counterexample to  $B(R) \rightarrow B(K)$  being 1-1 is of this kind. As a consequence it follows that if  $R$  is any ring with quotient field  $K$  a global field, the map  $B(R) \rightarrow B(K)$  is 1-1. We get the following splitting result: If  $A$  is any Azumaya  $R$ -algebra,  $R$  a ring with quotient field a global field  $K$ , and  $A \otimes_R K$  is split by a finite extension field  $L$ , then every order over  $R$  in  $L$  splits  $A$ .

When  $B(R)$  cannot be identified cohomologically, cohomological methods do not give precise information on the kernel of the map  $B(R) \rightarrow B(\bar{R}) \oplus B(R/c)$ . In

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§4 we apply methods of Milnor and Bass to obtain six-term Mayer-Vietoris  $K$ -theory sequences for the categories  $\mathbf{FP}$  and  $\mathbf{Az}$ . Using them we show that when  $\text{Pic}(\bar{R}/c)$  is torsion, the kernel is isomorphic to the cokernel of the map from  $\text{Pic}(\bar{R}) \oplus \text{Pic}(R/c)$  to  $\text{Pic}(\bar{R}/c)$ .

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I. Let  $R$  be a commutative ring, and let  $\mathbf{K} = \mathbf{K}(R)$  be the category of commutative  $R$ -algebras and  $R$ -algebra maps. Given a functor  $F: \mathbf{K} \rightarrow \mathbf{ab}$  and an  $R$ -algebra  $S$  define  $FS: \mathbf{K} \rightarrow \mathbf{ab}$  by  $FS(T) = F(S \otimes T)$  (unadorned  $\otimes$  means  $\otimes_R$ ); define  $FS/F: \mathbf{K} \rightarrow \mathbf{ab}$  by exactness of the sequence

$$F(T) \rightarrow FS(T) \rightarrow FS/F(T) \rightarrow 0$$

for all objects  $T$  of  $\mathbf{K}$ . (The functor  $FS/F$  is called  $QF(S, -)$  in [6].)

Denote by  $H^n(T/R, F)$  the Amitsur cohomology groups with coefficients in  $F$ . Then  $H^n(T/R, FS) \cong H^n(T \otimes S/S, F)$ , the isomorphism being induced on the complex level. For an  $R$ -algebra  $T$ ,  $T^n = T \otimes \dots \otimes T$  ( $n$  times),  $T^0 = R$ .

(1.1) Proposition [6, 3.3]. *If  $S, T$  are  $R$ -algebras and  $F: \mathbf{K} \rightarrow \mathbf{ab}$  a functor such that  $F(T^n) \rightarrow FS(T^n)$  is 1-1 for all  $n \geq 0$ , then there is a long exact sequence*

$$\begin{aligned} \dots \rightarrow H^{n-1}(T/R, FS/F) &\rightarrow H^n(T/R, F) \rightarrow H^n(T/R, FS) \\ &\rightarrow H^n(T/R, FS/F) \rightarrow H^{n+1}(T/R, F) \rightarrow \dots \end{aligned}$$

The proof is a routine diagram chase.

We remark that with  $U: \mathbf{K} \rightarrow \mathbf{ab}$  the units functor the group  $H^1(T/R, US/U)$  has been studied in [6, §4] and [8].

(1.2) Let  $\mathbf{T}$  be a (Grothendieck) topology on  $R$  for which all the covers are singleton covers which are faithfully flat  $R$ -algebras (I am viewing  $\mathbf{T}$  as involving algebras, rather than affine schemes, so a singleton cover is an  $R$ -algebra map  $S \rightarrow T$ ). Assume also that if  $R \rightarrow S$  is a cover in  $\mathbf{T}$ , so is  $R \rightarrow S^n$  for all  $n > 0$ . Denote

$$\begin{aligned} \varinjlim H^n(T/R, F) &= H_{\mathbf{T}}^n(R, F), \\ \varinjlim H^n(T/R, FS) &= H_{\mathbf{T}}^n(S, F), \\ \varinjlim H^n(T/R, FS/F) &= H_{\mathbf{T}}^n(S, R; F), \end{aligned}$$

where the limits are taken over covers  $R \rightarrow T$  in  $\mathbf{T}$ . Thus  $H_{\mathbf{T}}^n(S, F)$ , where  $\mathbf{T}$  is a topology on  $R$ , is a limit over covers of  $S$  of the form  $S \rightarrow S \otimes_R T$ . (In case  $\mathbf{T}$  consists of covers which are faithfully flat of finite presentation these limits are denoted by  $H^n F, H^n F(S), H^n QF(S)$  in [6].)

(1.3) Proposition. *If  $F: \mathbf{K} \rightarrow \mathbf{ab}$  is a functor for which for all covers  $R \rightarrow T$  in  $\mathbf{T}$ ,  $F(T) \rightarrow FS(T)$  is 1-1, then there is a long exact sequence*

$$\begin{aligned} \cdots \rightarrow H_T^{n-1}(S, R; F) \rightarrow H_T^n(R, F) \rightarrow H_T^n(S, F) \\ \rightarrow H_T^n(S, R; F) \rightarrow H_T^{n+1}(R, F) \rightarrow \cdots \end{aligned}$$

The groups  $H_T^n(S, R; F)$  are candidates for an excision property. Let

$$(1.4) \quad \begin{array}{ccc} R & \longrightarrow & R_1 \\ \downarrow & & \downarrow \\ R_2 & \xrightarrow{f_2} & R_3 \end{array}$$

be a fibre product diagram in  $\mathbf{K}$ , so that  $R = \{(r_1, r_2) | f_1(r_1) = f_2(r_2)\}$ .

(1.5) Definition (cf. [10]). Given (1.4) with  $f_2$  onto, and a topology  $\mathbf{T}$  over  $R$  as in (1.3), a functor  $F: \mathbf{K} \rightarrow \mathbf{ab}$  is a M-V functor (over  $\mathbf{T}$  relative to (1.4)) if

$$(MV\ 1) \quad 0 \rightarrow F(S) \rightarrow F(S_1) \oplus F(S_2) \xrightarrow{\rho_S} F(S_3)$$

is exact for all  $R \rightarrow S$  in  $\mathbf{T}$ , where  $\rho_S = F(S \oplus f_1) - F(S \oplus f_2)$ , and

$$(MV\ 2) \quad \varinjlim \rho_T \text{ is onto;}$$

i.e. given  $R \rightarrow S$  in  $\mathbf{T}$  and  $x \in F(S_3)$ , there exists  $S \xrightarrow{i} T$  in  $\mathbf{T}$  so that  $F(i \otimes R_3)(x)$  is in the image of  $\rho_T$ .

We can now state an excision theorem.

(1.6) Theorem. Let

$$(1.4) \quad \begin{array}{ccc} R & \longrightarrow & R_1 \\ \downarrow & & \downarrow \\ R_2 & \xrightarrow{f_2} & R_3 \end{array}$$

be a fibre product diagram with  $f_2$  onto, and  $F: \mathbf{K}(R) \rightarrow \mathbf{ab}$  a functor. Suppose  $\mathbf{T}$  is a topology over  $R$  as in (1.2), and  $F$  is a M-V functor over  $\mathbf{T}$  relative to (1.4). Then for all  $n \geq 0$ ,  $H_T^n(R_2, R, F) \cong H_T^n(R_3, R_1, F)$ .

Proof. For  $n \geq 0$  and  $T$  a cover of  $R$  in  $\mathbf{T}$  consider the commutative diagram

$$\begin{array}{ccccc} F(T^n) & \rightarrow & F(T_2^n) & \xrightarrow{\rho_T^n} & F(T_2^n)/F(T^n) \rightarrow 0 \\ \downarrow & & \downarrow & & \downarrow \pi_T^n \\ F(T_1^n) & \rightarrow & F(T_3^n) & \longrightarrow & F(T_3^n)/F(T_1^n) \rightarrow 0 \end{array}$$

which has exact rows. Since  $F$  satisfies (MV 1) a quick diagram chase shows that  $\pi_T^n$  must be 1-1. Assume  $F$  satisfies (MV 2). Given an element  $z$  of  $F(T_3^n)/F(T_1^n)$  pull it back to  $w$  in  $F(T_3^n)$ . By (MV 2) there is a cover  $T \xrightarrow{i} U$  in  $\mathbf{T}$  so that  $F(i \otimes R_3)(w) = w_U = F(f_2 \otimes U^n)(y) - F(f_1 \otimes U^n)(x)$ . But then  $\rho_U(w_U) = \rho_U(F(f_2 \otimes U^n)(y))$ . So  $\rho_U'(y)$  in  $F(U_2^n)/F(U^n)$  maps onto  $z_U$ . It is then a trivial

3-dimensional diagram chase to verify that

$$\varinjlim H(\pi_T^n): H^n(R_2, R, F) \rightarrow H^n(R_3, R_1, F)$$

is 1-1 and onto.

(1.7) **Corollary.** *Given the fibre product (1.4) with  $f_2$  onto,  $\mathbf{T}$  a topology and  $F$  a functor:  $\mathbf{K} \rightarrow \mathbf{ab}$  satisfying*

- (1)  $F(T_i) \rightarrow F(T_{i+2})$  is 1-1 for all  $R \rightarrow T$  in  $\mathbf{T}$ ,
- (2)  $F$  is a M-V functor over  $\mathbf{T}$  relative to (1.4).

*Then there is a Mayer-Vietoris sequence*

$$\begin{aligned} \cdots \rightarrow H_T^{n-1}(R_3, F) \rightarrow H_T^n(R, F) \\ \rightarrow H_T^n(R_1, F) \oplus H_T^n(R_2, F) \rightarrow H_T^n(R_3, F) \rightarrow \cdots \end{aligned}$$

This follows by a well-known and easy diagram chase on the long exact sequences of (1.3) involving  $H_T^n(R_i, F) \rightarrow H_T^n(R_{i+2}, F)$ ,  $i = 0, 1$  ( $R_0 = R$ ), using the excision isomorphism of (1.6).

(1.8) **Remark.** One can prove (1.7) without the assumption (1) by a more tedious direct argument, not using the excision property (1.6). We omit a proof of this, as the added generality is not needed in the applications below. A reader wishing to study the Brauer group of the group ring over  $Z$  of a cyclic group of prime power order using [3, p. 483, (5.5) and p. 601], however, will prefer the more general version of (1.7).

Recall that  $U: \mathbf{K} \rightarrow \mathbf{ab}$  is the units functor,  $\text{Pic}: \mathbf{K} \rightarrow \mathbf{ab}$  is the functor which assigns to  $\mathbf{T}$  the group of isomorphism classes of rank one projective  $T$ -modules, and  $B: \mathbf{K} \rightarrow \mathbf{ab}$  is the Brauer group functor.

For  $x$  in  $R$ ,  $R_x$  denotes the ring of quotients of  $R$  with respect to the multiplicative set  $\{x^n | n \geq 0\}$ . An  $R$ -algebra  $T$  of the form  $T = \bigoplus_{i=1}^n R_{x_i}$  with  $Rx_1 + \cdots + Rx_n = R$  will be called a Zariski cover of  $R$ . Such an  $R$ -algebra is faithfully flat over  $R$  [5, p. 137].

(1.9) **Proposition.** *Let  $\mathbf{T}$  be a topology over  $R$  as in (1.2) such that (\*) for  $R \rightarrow S$  a cover in  $\mathbf{T}$  and  $x \in \text{Pic}(S)$  there exists  $S \rightarrow T$  a cover of  $\mathbf{T}$  such that  $x \in \ker\{\text{Pic}(S) \rightarrow \text{Pic}(T)\}$ . Then the functor  $U$  is a M-V functor over  $\mathbf{T}$  relative to (1.4) (when  $f$  is onto).*

**Proof.** That  $U$  satisfies (MV 1) is clear. That  $U$  satisfies (MV 2) follows from a Mayer-Vietoris sequence of Milnor [3, p. 481]: surjectivity of  $f_2$  in (1.4) yields the exact sequence

$$0 \rightarrow U(S) \rightarrow U(S_1) \oplus U(S_2) \rightarrow U(S_3) \xrightarrow{\partial} \text{Pic}(S)$$

for any flat  $R$ -algebra  $S$ . Given any  $x \in U(S_3)$  find a cover  $i: S \rightarrow T$  which splits  $\partial(x)$  in  $\text{Pic}(S)$ . Then  $U(i \otimes R_3)(x)$  comes from  $U(T_1) \oplus U(T_2)$ , so that (MV 2) holds.

**Remark.** We show in §2 that the topology whose covers  $S \rightarrow T$  are etale faithfully flat algebras satisfies (\*).

(1.10) **Corollary.** *Let  $T$  be a topology over  $R$  as in (1.2) and satisfying (\*). Suppose given the fibre product diagram*

$$(1.4) \quad \begin{array}{ccc} R & \longrightarrow & R_1 \\ \downarrow & & \downarrow \\ R_2 & \xrightarrow{f_2} & R_3 \end{array}$$

with  $f_2$  onto, and suppose that

$$(1.11) \quad \begin{array}{l} \text{for each maximal ideal } m \text{ of } R, \\ R_m \otimes R_i \text{ is semilocal, } i = 1, 2, 3. \end{array}$$

Then there is a long exact sequence

$$\begin{aligned} 0 \rightarrow U(R) \rightarrow U(R_1) \oplus U(R_2) \rightarrow U(R_3) \rightarrow \text{Pic}(R) \rightarrow \text{Pic}(R_1) \oplus \text{Pic}(R_2) \\ \rightarrow \text{Pic}(R_3) \rightarrow H_T^2(R, U) \rightarrow H_T^2(R_1, U) \oplus H_T^2(R_2, U) \rightarrow H_T^2(R_3, U) \\ \rightarrow H_T^3(R, U) \rightarrow \dots \end{aligned}$$

**Proof.** Apply (1.9) to the sequence (1.7) (noting remark (1.8)). The result then follows once we note that  $H_T^0(R_i, U) = U(R_i)$  and  $H_T^1(R_i, U) \cong \text{Pic}(R_i)$ . The first of these is true because for any flat  $R$ -algebra  $T$ ,  $H^0(T/R, U) = U(R)$ . As for the second, given any rank one projective  $R_i$ -module  $P_i$  and any maximal ideal  $m$  of  $R$ ,  $R_m \otimes_R P_i$  is free because  $R_m \otimes_R R_i$  is semilocal. Thus for each  $m$  there is an  $x$  not in  $m$  so that  $R_x \otimes_R R_i$  is free. The  $x$ 's generate the unit ideal so there exists  $x_1, \dots, x_n$  so that  $x_1 + \dots + x_n = 1$ , and  $(\bigoplus_{j=1}^n R_{x_j}) \otimes_R P_i$  is free. But such an algebra  $T = \bigoplus R_{x_j}$  is a Zariski cover. Thus the class of  $P_i$  is in

$$\ker(\text{Pic}(R_i) \rightarrow \text{Pic}(T \otimes R_i)) \cong H^1(T \otimes R_i / R_i, U).$$

Passing to the limit (union) over covers of  $R_i$  in  $T$  yields the isomorphism  $H_T^1(R_i, U) \cong \text{Pic}(R_i)$  [6, 6.6].

(1.12) **Remark.** The first six terms of this sequence form the sequence of Milnor used in the proof of (1.9). (Milnor's sequence is however valid without assumption (1.11).) Corollary (1.10) thus yields, under the condition (1.11), an infinite extension of Milnor's sequence.

If  $T$  is the etale topology (see below)  $H_T^2(R, U)$  is isomorphic to Grothendieck's cohomological Brauer group [11] by a result of M. Artin [17, Corollary 4.2], and contains the usual Brauer group. Corollary (1.10) thus gives information on the cohomological Brauer group of a fibre product.

II. In this section we study the relationship between the usual Brauer group of  $R$  and the Čech Brauer group which arose in §I.

In the following sections  $R$  is a commutative ring and  $T$  is the topology on  $R$  such that  $\text{Cat}(T) = R\text{-algebras } R \rightarrow S \text{ when } R \rightarrow S \text{ is in } \text{Cov}(T)$ , and  $\text{Cov}(T) = \text{algebras } S \rightarrow T \text{ where } T \text{ is a finitely presented, faithfully flat étale } S\text{-algebra}$  [14]. If  $R'$  is an  $R$ -algebra, the topology  $T(R)$  on  $R'$  is the topology  $T \otimes_R R'$ , i.e.

$$\text{Cat}(T \otimes_R R') = \{R'\text{-algebras } S' = S \otimes_R R'\},$$

$$\text{Cov}(T \otimes_R R') = \{\text{covers } S \otimes_R R' \rightarrow T \otimes_R R' \text{ with } S \rightarrow T \text{ in } \text{Cov}(T)\}.$$

Call  $T$  the étale topology on  $R$ , and  $S \rightarrow T \in \text{Cov}(T)$  an étale cover.

The object of this section is to prove:

(2.1) **Theorem.** *Let  $R_0$  be a commutative Noetherian ring,  $R$  a finite  $R_0$ -algebra,  $T = T(R_0) \otimes R$ . Let  $B_T(R) = \bigcup \ker(B(R) \rightarrow B(T))$  where the union runs through covers  $R \rightarrow T$  in  $T$ . Then there is a 1-1 map  $B_T(R) \rightarrow H_T^2(R, U)$ .*

The idea of the proof is the same as that for the corresponding map into  $H_T^2(R, U)$ , the derived sheaf cohomology, as found in [11, I, §§1.3 and 2]. Before beginning the proof, we need:

(2.2) **Proposition.** *Let  $\bar{R}$  be a finite  $R$ -algebra, let  $S$  be an étale cover of  $R$ , let  $\bar{S} = S \otimes_R \bar{R}$ , and let  $P$  be a finite  $S \otimes_R \bar{S}$ -module of rank  $n$ . Then there exists an étale cover  $S \rightarrow T$  such that if  $\bar{T} = T \otimes_R \bar{R}$ , then  $P \otimes_{(S \otimes_R \bar{S})} (\bar{T} \otimes_R \bar{T})$  is free.*

**Proof.** Let  $q$  be a prime ideal of  $S \otimes_R S$ . Then  $R \otimes_R (S \otimes_R S)_q$  is semi-local, so  $\bar{P} \otimes_{\bar{S}^2} (\bar{R} \otimes_R (S \otimes_R S)_q)$  is free. Thus there is an étale (in fact a Zariski) cover  $W = \bigoplus_{i=1}^n (S \otimes_R S)_{f_i}$  of  $S \otimes_R S$  so that  $P \otimes_{\bar{S}^2} (\bar{R} \otimes_R W)$  is free. By [17, Theorem 4.1], since  $R$  is Noetherian it follows that there is an étale  $S$ -algebra  $T$  such that the map  $S \otimes_R S \rightarrow T \otimes_R T$  factors through the map  $S \otimes_R S \rightarrow W$ . Thus

$$P \otimes_{\bar{S}^2} (\bar{R} \otimes_R (T \otimes_R T)) \cong P \otimes_{\bar{S}^2} (\bar{T} \otimes_R \bar{T})$$

is free.

**Proof (of (2.1)).** Let  $GL_{\otimes} = \varinjlim GL_{\otimes}(n)$ , where the limit is taken with respect to the maps  $GL(n) = \text{Aut}(R^n) \rightarrow \text{Aut}(R^n \otimes R^m) = GL(mn)$  by  $\sigma \rightarrow \sigma \otimes 1$ , and let  $PGL = \varinjlim PGL(n)$ , the limit being taken in the same way. Then there is a short exact sequence of functors  $1 \rightarrow U \rightarrow GL_{\otimes} \rightarrow PGL \rightarrow 1$  which yields an exact sequence of pointed Čech cohomology sets:

$$(2.2) \quad \cdots H_T^1(R, U) \rightarrow H_T^1(R, GL_{\otimes}) \rightarrow H_T^1(R, PGL) \rightarrow H_T^2(R, U).$$

But in fact we have an abelian monoid structure on  $H_T^1(R, GL_\otimes)$  and on  $H_T^1(R, PGL)$  given as follows: Recall that

$$H_T^1(R, GL_\otimes) = \varinjlim H^1(S/R, GL_\otimes) \quad \text{and} \quad H^1(S/R, GL_\otimes) = \varinjlim_n H^1(S/R, GL(n)).$$

For  $\bar{a}, \bar{b}$  in  $H^1(S/R, GL_\otimes)$  let

$$a \in Z^1(S/R, GL(n)) \subseteq GL_n(S^2), \quad b \in Z^1(S/R, GL(m)) \subseteq GL_m(S^2)$$

be preimages. Then  $\bar{a} \times \bar{b}$  is the image in  $H^1(S/R, GL_\otimes)$  of  $a \otimes 1 \cdot 1 \otimes b$  in

$$Z(S/R, GL(mn)) \subseteq GL_{mn}(S^2) = \text{Aut}((S \otimes S)^{(n)} \otimes (S \otimes S)^{(m)}).$$

It is shown in [9, p. 107] that this multiplication and the analogous multiplication on  $H^1(S/R, PGL)$ , is well defined, commutative, and makes these sets into abelian monoids. Garfinkel [9] also shows that the maps of (2.2) are homomorphisms of abelian monoids.

In fact,  $H_T^1(R, GL_\otimes)$  and  $H_T^1(R, PGL)$  are abelian groups, as we shall see. Now descent theory ([12], [9]) yields a natural (in  $S$  and  $n$ ) bijection of pointed sets  $H^1(S/R, GL(n)) \leftrightarrow V(S/R, S^n)$  where  $V(S/R, S^n)$  is the set of isomorphism classes of  $R$ -modules  $P$  which are projective of rank  $n$  and such that  $P \otimes_R S \cong S^n$ . Passing to the limit over  $n$  gives a bijection of  $H^1(S/R, GL_\otimes)$  with the set of those isomorphism classes of projective  $R$ -modules  $P$  of finite constant rank over  $R$ , which became free when tensored with  $S$ , modulo the equivalence relation that  $P \sim Q$  if  $P \otimes F_1 \cong Q \otimes F_2$  for some free modules  $F_1, F_2$  of finite rank. The abelian monoid structure on  $H^1(S/R, GL_\otimes)$ , then coincides with the tensor product on projective modules.

Passing to the limit over  $R \rightarrow S$  in  $T$  gives an abelian monoid isomorphism of  $H_T^1(R, GL_\otimes)$  with the monoid of equivalence classes of projective  $R$ -modules of finite constant rank. But by a theorem of Bass, for any projective module  $P$  of finite rank there is another such  $Q$  so that  $P \otimes Q$  is free. Thus  $H_T^1(R, GL_\otimes)$  is an abelian group. If  $\text{FP}_r(R)$  denotes the category (with product  $\otimes$ ) of the projective  $R$ -module of constant finite rank, then in fact

$$H_T^1(R, GL_\otimes) \cong K_0 \text{FP}_r / Z = (\text{def}) \tilde{K}_0 \text{FP}_r(R)$$

where  $Z$  denotes the classes of the free  $R$ -modules of finite rank.

Let  $AE(n)$  be the functor defined by  $AE(n)(S) = \text{Aut}(\text{End}_S(S^{(n)}))$  and  $AE = \varinjlim AE(n)$ ; the limit being induced by the maps  $S^{(n)} \hookrightarrow S^{(n)} \otimes S^{(m)}$  just as with  $GL_\otimes$  and  $PGL$ . Then  $H^1(S/R, AE)$  is an abelian monoid [9, 6.13, p. 109]. There is an exact sequence of functors [15]

$$1 \rightarrow PGL(n) \rightarrow AE(n) \rightarrow \ker(\text{Pic} \xrightarrow{n} \text{Pic}) \rightarrow 1$$

which, in the limit, yields  $1 \rightarrow PGL \rightarrow AE \rightarrow t \text{Pic} \rightarrow 1$  where  $t \text{Pic}$  is the

torsion subgroup of  $\text{Pic}$ . Applying cohomology yields the exact sequence

$$\rightarrow H_T^0(R, {}_t\text{Pic}) \rightarrow H_T^1(R, \text{PGL}) \rightarrow H_T^1(R, \text{AE}) \rightarrow H_T^1(R, {}_t\text{Pic})$$

which is an exact sequence of abelian monoids. But in fact  $H_T^0(R, {}_t\text{Pic}) \subseteq \varinjlim_T {}_t\text{Pic}(S) = 0$  and  $H_T^1(R, \text{Pic}_t) \subseteq \varinjlim_T {}_t\text{Pic}(S^2) = 0$  since for  $P$  in  ${}_t\text{Pic}(S^2)$  there is a cover  $S \xrightarrow{i} T$  so that  $P \otimes_{S^2} T^2 \cong T^2$  by Proposition (2.2). Thus  $H_T(R, \text{PGL}) \cong H_T(R, \text{AE})$ .

Now descent theory yields a natural (in  $S$  and  $n$ ) bijection of pointed sets.  $H^1(S/R, \text{AE}(n)) \rightarrow V(S/R, \text{End}_S(S^n))$  where  $V(S/R, \text{End}_S(S^n))$  is the set of isomorphism classes of Azumaya  $R$ -algebras  $A$  such that  $A \otimes_R S \cong \text{End}_S(S^n)$ . Passing to the limit over  $n$  gives a bijection of  $H^1(S/R, \text{AE})$  with the set of Azumaya  $R$ -algebras  $A$  of constant rank over  $R$  which become isomorphic to matrix rings when tensored with  $S$ , modulo the equivalence relation that  $A \approx B$  if  $A \otimes M_n(R) \cong B \otimes M_m(R)$  for some matrix rings  $M_n(R), M_m(R), m, n \geq 0$ . The abelian monoid structure on  $H^1(S/R, \text{AE})$  coincides with the tensor product of Azumaya algebras.

Passing to the limit over  $R \rightarrow S$  in  $T$  gives an abelian monoid isomorphism of  $H_T^1(R, \text{AE})$  with the monoid of equivalence classes of Azumaya  $R$ -algebras of constant rank which are split into matrix rings by covers of  $T$ . But this monoid is a group, for if  $A$  is split by a cover in  $T$ , so is  $A^0$ , and if  $Q$  is a projective  $R$ -module of constant rank such that  $Q \otimes_R A$  is a free  $R$ -module, then  $Q$  is split by some cover in  $T$ , so that  $A^0 \otimes \text{End}_R(Q)$  is split by a cover of  $T$ . But  $A \otimes A^0 \otimes \text{End}_R(Q) =$  a matrix ring, so  $A$  has an inverse which is split in  $T$ .

If  $\text{Az}_T^r(R)$  denotes the category with product  $\otimes$  of the Azumaya  $R$ -algebras of finite constant rank split by  $T$ , then as above we have

$$H_T^1(R, \text{AE}) \cong K_0(\text{Az}_T^r(R)/\mathbb{Z}) = (\text{def}) \tilde{K}_0 \text{Az}_T^r$$

where  $\mathbb{Z}$  denotes the classes of the endomorphism rings of free  $R$ -modules of finite rank.

Putting these identifications together, we have the commutative diagram with vertical isomorphisms and exact rows:

$$(2.3) \quad \begin{array}{ccccccc} \rightarrow & H_T^1(R, U) & \rightarrow & H_T^1(R, \text{GL}_\otimes) & \rightarrow & H_T^1(R, \text{PGL}) & \rightarrow & H_T^2(R, U) \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \text{Pic}(R) & \rightarrow & \tilde{K}_0 \text{FP}_r(R) & \xrightarrow{\text{End}} & \tilde{K}_0 \text{Az}_T^r(R) & \longrightarrow & B & \rightarrow 0 \end{array}$$

It follows that if  $B$  is defined by exactness of the bottom row there is a monomorphism from  $B$  into  $H_T^2(R, U)$ . But it is easy to see that  $B = B_T(R)$ . For  $B$  consists of Azumaya algebras of constant rank modulo the equivalence relation  $A \sim A'$  if there exist projective modules with constant rank,  $P, P'$  so that  $A \otimes \text{End}_R(P) \cong A' \otimes \text{End}_R(P')$ . But any class in  $B_T(R)$  may be represented by an Azumaya



algebra of constant rank, so the obvious 1-1 map  $B \rightarrow B_T(R)$  is onto.

This completes the proof of Theorem (2.1).

III.

(3.1) Let  $R$  be a Noetherian domain,  $\bar{R}$  its normalization,  $c$  the conductor. Then there is a long exact sequence.

$$\begin{aligned} 1 \rightarrow U(R) \rightarrow U(\bar{R}) \rightarrow U(\bar{R}/c) \rightarrow U(R/c) \rightarrow \text{Pic}(R) \rightarrow \text{Pic}(\bar{R}) \oplus \text{Pic}(R/c) \\ \rightarrow \text{Pic}(\bar{R}/c) \rightarrow H_T^2(R, U) \rightarrow H_T^2(\bar{R}, U) \oplus H_T^2(R/c, U) \rightarrow H_T^2(\bar{R}/c, U) \\ \rightarrow H_T^3(R, U) \rightarrow \dots \end{aligned}$$

**Proof.** This follows immediately from Corollary (1.10) applied to the fibre product

$$\begin{array}{ccc} R & \rightarrow & R/c \\ \downarrow & & \downarrow \\ \bar{R} & \rightarrow & \bar{R}/c \end{array}$$

since for each prime ideal  $p$  of  $R$ ,  $\bar{R}_p$  is semilocal [13, (33.10)].

Note that this sequence is nontrivial iff  $c \neq 0$  iff  $\bar{R}$  is a finite  $R$ -module.

The rest of the section is devoted to applications of (3.1).

(3.2) **Theorem.** Suppose  $R$  is a Noetherian domain of Krull dimension 1, then there is an exact sequence

$$0 \rightarrow B(R) \rightarrow B_T(\bar{R}) \oplus B_T(R/c) \rightarrow B_T(\bar{R}/c).$$

**Proof.** The conditions on  $R$  imply that  $B(R) \cong dH_T^2(R, U)$ , the derived sheaf cohomology by [11, II, Corollary 2.2]. Artin's isomorphism of derived and Čech étale cohomology [17, Corollary 4.2] implies that  $B(R) \cong H_T^2(R, U)$ . The other three Brauer groups map monomorphically into the respective  $H^2$ 's by (2.1). Since  $\bar{R}$  is Dedekind,  $\bar{R}/c$  is Artinian, so  $H_T^1(\bar{R}/c, U) \subseteq \text{Pic}(\bar{R}/c) = 0$ . So exactness of the sequence follows easily from (3.1).

(3.3) **Corollary.** Let  $R$  be any ring with quotient field a finite algebraic number field  $K$ . Then  $B(R) \cong B(\bar{R})$ .

**Proof.** By [7, Theorem A]  $\bar{R}$  is a ring of quotients of the integral closure  $R_0$  of  $\mathbb{Z}$  in  $\bar{R}$ . Thus  $R_0$ , hence  $\bar{R}$ , hence  $R$ , has dimension 1. Since  $R_0$  is a finite  $\mathbb{Z}$ -module,  $R$  is pseudo-geometric [13] so  $\bar{R}$  is a finite  $R$ -module. Thus  $c \neq 0$  and  $\bar{R}/c$ , hence also  $R/c$  are finite rings. Thus  $B(R/c) = B(\bar{R}/c) = 0$  [12, p. 41, Corollary 3]. The result then follows from (3.2).

(3.4) **Corollary.** If  $R$  is any ring with quotient field a finite algebraic number field  $K$ , then the map  $B(R) \rightarrow B(K)$  is 1-1.

**Proof.** Since  $\bar{R}$  is normal of dimension 1,  $\bar{R}$  is regular so  $B(\bar{R}) \rightarrow B(K)$  is 1-1 by [2, 7.2].

(3.5) **Proposition.** *Let  $k$  be a field,  $R$  a  $k$ -algebra which is a Noetherian domain with quotient field  $K$  a finitely generated function field of transcendence degree  $\leq 1$  over  $k$ . Then there is an exact sequence*

$$0 \rightarrow B(R) \rightarrow B_T(\bar{R}) \oplus B_T(R/c) \rightarrow B_T(\bar{R}/c).$$

**Proof.** If  $\text{tr deg } K = 0$  then  $R = K$  and the sequence is trivial. If  $\text{tr deg } K = 1$  then  $R$  contains a transcendental element  $x$ , hence contains  $k[x]$ , and  $K$  is a finite extension of  $k(x)$ . In that case it is known that  $R$  has dimension 1 (e.g. [7, §3]).

(3.6) **Corollary.** *If in (3.5)  $k$  has cohomological dimension  $\leq 1$  [16] then  $B(R) \cong B_T(\bar{R})$ , and the map from  $B(R)$  to  $B(K)$  is 1-1.*

**Proof.** Assume  $\text{tr deg } K = 1$ . We show that  $\bar{R}$  is a finite  $R$ -module. Let  $x$  be an element of  $R$  which is transcendental over  $k$ , let  $Z$  be the integral closure of  $k[x]$  in  $R$ , and let  $\bar{Z}$  be the integral closure of  $Z$  in  $K$ . Then  $\bar{Z} = Z[a_1, \dots, a_n]$ , for  $k[x]$  is pseudo-geometric [13] and  $K$  is a finite extension of  $k(x)$ , so  $\bar{Z}$  is a finite  $k[x]$ -module. *Claim:*  $\bar{R} = R[a_1, \dots, a_n]$ . Clearly since  $\bar{Z} \subseteq \bar{R}$ ,  $R[a_1, \dots, a_n] \subseteq \bar{R}$ . On the other hand, for any maximal ideal  $p$  of  $\bar{Z}$ ,  $\bar{Z}_p \subseteq R[a_1, \dots, a_n]_p \subseteq \bar{R}_p$ , so since  $\bar{Z}_p$  is a discrete rank one valuation ring,  $\bar{Z}_p = \bar{R}_p$ . Thus if  $\tilde{Z} = \bigoplus_p \bar{Z}_p$ ,  $R[a_1, \dots, a_n] \otimes_Z \tilde{Z} = \bar{R} \otimes_Z \tilde{Z}$ . Since  $\tilde{Z}$  is a faithfully flat  $Z$ -module,  $R[a_1, \dots, a_n] = \bar{R}$ .

It follows that  $c \neq 0$ .

Since  $\bar{R}$  is Dedekind,  $\bar{R}/c$  and  $R/c \subseteq \bar{R}/c$  are finitely generated  $k$ -algebras of dimension 0. Thus  $\bar{R}/c$  is a finite  $k$ -algebra, so that  $(\bar{R}/c)/\text{rad}(\bar{R}/c)$  is a finite product of finite field extensions of  $k$ . Since every finite extension of  $k$  has trivial Brauer group,  $B((\bar{R}/c)/\text{rad}(\bar{R}/c)) = 0$ . Now since  $\text{rad}(\bar{R}/c)$  is nilpotent, it follows from [12, p. 41] that the map  $B(\bar{R}/c) \rightarrow B((\bar{R}/c)/\text{rad}(\bar{R}/c))$  is 1-1. Thus  $B(\bar{R}/c) = 0$ . Similarly  $B(R/c) = 0$ . The result follows from (3.2) and [2, 7.2], as before.

This last corollary applies in particular if  $k$  is algebraically closed, or if  $k$  is a finite field (see [16] for other examples). Thus

(3.7) **Corollary.** *If  $R$  is any ring with quotient field a global field  $K$ , the map  $B(R) \rightarrow B(K)$  is 1-1.*

(3.8) **Corollary** [11, III, (1.2)]. *If  $R$  is the affine ring of any complex algebraic curve, then  $B(R) = 0$ .*

(Tsen's theorem implies that  $B(K) = 0$ .)

We make a remark about splitting algebras.

(3.9) **Corollary.** *Let  $R$  be a ring with quotient field  $K$  a global field. Let  $A$*

be an Azumaya  $R$ -algebra and  $L \supseteq K$  be a finite splitting field for  $A \otimes_R K$ . Then every order over  $R$  in  $L$  splits  $A$ .

For if  $S$  is such an order,  $B(S) \rightarrow B(L)$  is 1-1.

We conclude this section by observing that Auslander and Goldman's well-known counterexample to  $B(R) \rightarrow B(K)$  being 1-1 may be described in terms of (3.2).

(3.10) Example (Auslander-Goldman) [2, p. 388], [11, II, p. 297-09]. Let  $R = \mathbb{R}[x, y]$  with  $x^2 + y^2 = 0$ . Then  $\bar{R} = \mathbb{R}[x, y/x] \cong \mathbb{C}[x]$ ,  $c = xR$ ,  $R/c = \mathbb{R}$ ,  $\bar{R}/c \cong \mathbb{C}$ . We have  $B(\bar{R}/c) = 0$ ;  $B(\bar{R}) = 0$  by [2, 7.2] and Tsen's theorem; and  $B(R/c) = B(\mathbb{R}) \cong \mathbb{Z}/2\mathbb{Z}$ . So by (3.2)  $B(R) \cong B_T(R/c) \subseteq B(R/c)$ . But in fact  $B_T(R/c) = B(R/c)$ , for the cover  $R \rightarrow R \otimes_R \mathbb{C}$  in  $T$  splits the nontrivial element of  $B(R/c)$ . So  $B(R) \cong B(R/c) = \mathbb{Z}/2\mathbb{Z}$ .

Thus  $B(R) \rightarrow B(\bar{R})$  is not 1-1, and so the map from the Brauer group of  $R$  to the Brauer group of its quotient field is not 1-1.

IV. Sequence (3.1) describes the kernel of the map from the (Čech) cohomological Brauer group  $B'(R)$  to  $B'(\bar{R}) \oplus B'(R/c)$  in terms of  $\text{Pic}(\bar{R}/c)$ . When  $B'(R) \cong B(R)$  as when  $R$  has dimension 1, this gives information on the kernel of the map from  $B(R)$  to  $B(\bar{R}) \oplus B(R/c)$  but in general (3.1) only describes that kernel as a quotient of some subgroup of  $\text{Pic}(\bar{R}/c)$ .

The object of this section is to prove that  $t \text{Pic}(\bar{R}/c)$  always maps into the kernel. We prove this by obtaining Mayer-Vietoris  $K$ -theory sequences for the categories  $\text{Fp}$  and  $\text{Az}$  (using results of Bass and Milnor) and chasing the diagram which arises from the map from one of the sequences to the other.

If  $\text{Pic}(\bar{R}/c)$  is torsion we can then describe the kernel precisely:

(4.1) Theorem. Let

$$\begin{array}{ccc} R & \longrightarrow & R_1 \\ \downarrow & & \downarrow \\ R_2 & \xrightarrow{f_2} & R_3 \end{array}$$

be a fibre product of rings with  $f_2$  onto. Then if the map  $\text{Pic}(R_1) \oplus \text{Pic}(R_2) \rightarrow \text{Pic}(R_3)/t \text{Pic}(R_3)$  is onto, then there is an exact sequence

$$(4.1a) \quad t \text{Pic}(R_3) \rightarrow B(R) \rightarrow B(R_1) \oplus B(R_2).$$

If  $\text{Pic}(R_3)$  is torsion, then there is an exact sequence  $\cdots \rightarrow \text{Pic}(R) \rightarrow \text{Pic}(R_1) \oplus \text{Pic}(R_2) \rightarrow \text{Pic}(R_3) \rightarrow B(R) \rightarrow B(R_1) \oplus B(R_2)$ .

Recall the categories with product  $\text{FP}(R)$  and  $\text{Az}(R)$  for  $R$  a commutative ring. The objects of  $\text{FP}(R)$  are finitely generated projective  $R$ -modules which have rank  $> 0$  at every  $p \in \text{Spec}(R)$ . A well-known theorem of Bass states that

$P$  is an object of  $\mathbf{FP}(R)$  iff there exists a finitely generated projective  $R$ -module such that  $P \otimes_R Q$  is free. Maps in  $\mathbf{FP}(R)$  are module isomorphisms, the product is  $\otimes_R$ . The objects of  $\mathbf{Az}(R)$  are Azumaya  $R$ -algebras; the product is  $\otimes_R$ . There is a functor  $\text{End}: \mathbf{FP}(R) \rightarrow \mathbf{Az}(R)$  given by  $P \mapsto \text{End}_R(P)$ , and this functor induces the exact sequences [4, pp. 117, 120] (cf. (2.3)).

$$(4.2a) \quad 1 \rightarrow \mathcal{U}(R)/\mathcal{TU}(R) \rightarrow K_1\mathbf{FP}(R) \rightarrow K_1\mathbf{Az}(R) \rightarrow {}_t\text{Pic}(R) \rightarrow 1$$

$$(4.2b) \quad 1 \rightarrow \text{Pic}(R)/{}_t\text{Pic}(R) \rightarrow K_0\mathbf{FP}(R) \rightarrow K_0\mathbf{Az}(R) \rightarrow B(R) \rightarrow 1.$$

The proof of (4.1) will follow by a diagram chase from (4.2) and

(4.3) Theorem. *Let*

$$\begin{array}{ccc} R & \longrightarrow & R_1 \\ \downarrow & & \downarrow \\ R_2 & \xrightarrow{f_2} & R_3 \end{array}$$

*be a fibre product of rings in which  $f_2$  is surjective. Then there are exact Mayer-Vietoris sequences:*

$$\begin{aligned} K_1\mathbf{FP}(R) &\rightarrow K_1\mathbf{FP}(R_1) \oplus K_1\mathbf{FP}(R_2) \rightarrow K_1\mathbf{FP}(R_3) \rightarrow K_0\mathbf{FP}(R) \\ &\rightarrow K_0\mathbf{FP}(R_1) \oplus K_0\mathbf{FP}(R_2) \rightarrow K_0\mathbf{FP}(R_3) \end{aligned}$$

and

$$\begin{aligned} K_1\mathbf{Az}(R) &\rightarrow K_1\mathbf{Az}(R_1) \oplus K_1\mathbf{Az}(R_2) \rightarrow K_1\mathbf{Az}(R_3) \rightarrow K_0\mathbf{Az}(R) \\ &\rightarrow K_0\mathbf{Az}(R_1) \oplus K_0\mathbf{Az}(R_2) \rightarrow K_0\mathbf{Az}(R_3). \end{aligned}$$

**Proof of (4.1).** The functor  $\text{End}$  defines a map of cartesian squares of categories from

$$\begin{array}{ccc} \mathbf{FP}(R) & \longrightarrow & \mathbf{FP}(R_1) \\ \downarrow & & \downarrow \\ \mathbf{FP}(R_2) & \longrightarrow & \mathbf{FP}(R_3) \end{array}$$

to

$$\begin{array}{ccc} \mathbf{Az}(R) & \longrightarrow & \mathbf{Az}(R_1) \\ \downarrow & & \downarrow \\ \mathbf{Az}(R_2) & \longrightarrow & \mathbf{Az}(R_3) \end{array}$$

So by VII (4.3) of [3] we have a map of Mayer-Vietoris sequences involving the two sequences of Theorem (4.3). Using the sequences of (4.2) we get a large diagram. We abbreviate groups in the diagram as follows: for a functor  $G$ , set

$$G(R_i) = G(R_1) \oplus G(R_2); FU(S) = U(S)/tU(S), FPic(S) = Pic(S)/tPic(S):$$

$$\begin{array}{ccccccccc}
 1 & \rightarrow & FU(R) & \longrightarrow & K_1 FP(R) & \longrightarrow & K_1 Az(R) & \longrightarrow & tPic(R) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \rightarrow & FU(R_i) & \longrightarrow & K_1 FP(R_i) & \longrightarrow & K_1 Az(R_i) & \longrightarrow & tPic(R_i) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \rightarrow & FU(R_3) & \longrightarrow & K_1 FP(R_3) & \longrightarrow & K_1 Az(R_3) & \longrightarrow & tPic(R_3) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \rightarrow & FPic(R) & \longrightarrow & K_0 FP(R) & \longrightarrow & K_0 Az(R) & \longrightarrow & B(R) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \rightarrow & FPic(R_i) & \longrightarrow & K_0 FP(R_i) & \longrightarrow & K_0 Az(R_i) & \longrightarrow & B(R_i) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 1 & \rightarrow & FPic(R_3) & \longrightarrow & K_0 FP(R_3) & \longrightarrow & K_0 Az(R_3) & \longrightarrow & B(R_3) \longrightarrow 1
 \end{array}$$

The maps in the last column are induced by exactness of the rows. The first statement of (4.1) follows by chasing diagram (4.4). The last statement follows from the first statement by putting together the sequence of (1.10) and sequence (4.1a).

(4.5) Remark. If  $B(R) = tH_T^2(R, U)$  then (4.1) follows without (4.3) by considering the torsion parts and the cokernels of the torsion parts of sequence (1.10) and chasing the resulting diagram.

The remainder of §4 is devoted to a sketch of the proof of (4.3), which proof is essentially a verification of Remark (5.2), p. 481 of [3] for the categories  $FP$  and  $Az$ .

The proof of (4.3) is a matter of showing that a general theorem of Bass [3, VII, (4.3), p. 314] is applicable to our situation. We therefore recall some definitions and results from [3]. The notation  $A \in \mathbb{C}$  means  $A$  is an object of  $\mathbb{C}$ .

(4.6) Definitions. Let

$$(4.7) \quad \begin{array}{ccc}
 \mathbb{C} & \xrightarrow{H_1} & \mathbb{C}_1 \\
 H_2 \downarrow & & \downarrow F_1 \\
 \mathbb{C}_2 & \xrightarrow{F_2} & \mathbb{C}_3
 \end{array}$$

be a diagram of categories with product and product preserving functors, and  $\alpha: F_1 H_1 \rightarrow F_2 H_2$  a natural isomorphism.

The functor  $F_2: \mathbb{C}_2 \rightarrow \mathbb{C}_3$  is  $E$ -surjective if, given  $A \in \mathbb{C}_2$  and  $\beta'$  in the commutator subgroup of  $\text{Aut}_{\mathbb{C}_3}(F_2 A)$ , there exists  $B \in \mathbb{C}_2$  and  $\beta$  in the commutator subgroup of  $\text{Aut}_{\mathbb{C}_2}(A \perp B)$  so that  $\beta' \perp 1_{F_2 B} = F_2 \beta$ .

The functor  $F_2$  is cofinal if given any  $A' \in C_3$  there exists  $B' \in C_3, A \in C_2$  so that  $A' \perp B' \cong F_2 A$ . The functor  $F_2$  is cofinal with respect to  $F_1$  if given  $A_1 \in C_1$  there exists  $A'_1 \in C_1, A_2 \in C_2$  such that  $F_2 A_2 \cong F_1(A_1 \perp A'_1)$ .

A basic object for  $C_2$  is an object  $A$  so that the inclusion functor from the category whose objects are  $A^n, n \geq 1$ , to  $C_2$ , is cofinal. Given a basic object  $A$  in  $C_2$  such that  $A^n \cong A^m$  implies  $n = m$ , set  $G(A^\infty) = \varinjlim G(A^n)$  where  $G(A^n) = \text{Aut}_{C_2}(A^n)$ . Then  $K_1 C_2 = G(A^\infty)/[G(A^\infty), G(A^\infty)]$  [4, p. 25].

(4.8) Remark. Suppose  $A$  is a basic object for  $C_2$ , and  $F_2 A$  is a basic object for  $C_3$ . Then  $F_2$  is cofinal, and  $F_2$  is  $E$ -surjective iff the map

$$[G(A^\infty), G(A^\infty)] \rightarrow [G((FA)^\infty), G((FA)^\infty)]$$

is onto.

(4.9) Definitions, continued. Given diagram (1), define the category  $C_1 \times_{C_3} C_2$  as follows:

The objects of  $C_1 \times_{C_3} C_2$  are triples  $(A_1, \alpha, A_2)$ , with  $A_i \in C_i, \alpha: F_1 A_1 \xrightarrow{\cong} F_2 A_2$ ; maps  $(f_1, f_2): (A_1, \alpha, A_2) \rightarrow (B_1, \beta, B_2)$  are pairs of maps  $f_i: A_i \rightarrow B_i$  which commute with  $\alpha$  and  $\beta$ . Define a functor  $T: C \rightarrow C_1 \times_{C_3} C_2$ , by  $T(A) = (H_1 A, \alpha_A, H_2 A)$ .

(4.10) (Bass). The diagram (4.7) yields a Mayer-Vietoris sequence

$$K_1 C \rightarrow K_1 C_1 \oplus K_1 C_2 \rightarrow K_1 C_3 \rightarrow K_0 C \rightarrow K_0 C_1 \oplus K_0 C_2 \rightarrow K_0 C_3$$

if

- (a)  $F_1$  and  $F_2$  are cofinal and cofinal with respect to each other,
- (b)  $F_2$  is  $E$ -surjective, and
- (c) the functor  $T$  is an equivalence.

Theorem (4.3) will follow from (4.10) applied to the diagram

$$(4.11) \quad \begin{array}{ccc} C(R) & \xrightarrow{H_1} & C(R_1) \\ H_2 \downarrow & & \downarrow F_1 \\ C(R_2) & \xrightarrow{F_2} & C(R_3) \end{array}$$

with  $C = \text{FP}$  or  $\text{Az}$ , where the functors are induced by "base change". The map  $\alpha: F_1 H_1 \rightarrow F_2 H_2$  is induced by the isomorphism  $(R \otimes_R R_1) \otimes_{R_1} R_3 \cong (R \otimes_R R_2) \otimes_{R_2} R_3$ .

Proof of (4.3). (a) Cofinal subsets of  $\text{FP}(R), \text{Az}(R)$  are  $R^n, M_n(R)$ , respectively, for  $n \geq 1$ . Since  $F_i, i = 1, 2$ , takes cofinal sets to cofinal sets in each case, (a) is clear.

(b) For  $\text{FP}$ . We assumed that  $f_2: R_2 \rightarrow R_3$  is surjective. By (4.6) it is enough to show that  $[GL_\oplus(R_2), GL_\oplus(R_2)] \rightarrow [GL_\oplus(R_3), GL_\oplus(R_3)]$  is onto.

But if  $\gamma \in [GL_n(R_3), GL_n(R_3)]$   $\gamma \otimes 1 \in E_{2n}(R_3)$  by the Whitehead lemma [3, V, (1.7), p. 226; cf. p. 519 and (1.9), p. 228];  $E_{2n}(R_2) \rightarrow E_{2n}(R_3)$  is clearly surjective, and  $E_{2n}(R_2) \subseteq [GL_{2n}(R_2), GL_{2n}(R_2)]$  by [3, V, (1.5), p. 223].

(b) For Az. Recall  $EA_n(R) = \text{Aut}(\text{End}_R(R^n))$ , and  $AE(R) = \varinjlim_n AE_n(R)$ .

We must show that  $[AE(R_2), AE(R_2)] \rightarrow [AE(R_3), AE(R_3)]$  is onto. Notation:  $[G(R)] = [G(R), G(R)]$  in the next argument.

Clearly,  $[GL_\otimes(R_i)] \rightarrow [PGL(R_i)]$  is onto for  $i = 2, 3$ . Since  $[GL_\otimes(R_2)] \rightarrow [GL_\otimes(R_3)]$  is onto, it follows trivially that  $[PGL(R_2)] \rightarrow [PGL(R_3)]$  is onto.

Now we have the commutative diagram,  $i = 2, 3$ .

$$\begin{array}{ccccccc}
 & & 1 & & 1 & & \\
 & & \downarrow & & \downarrow & & \\
 & & [PGL(R_i)] & \xrightarrow{j} & [AE(R_i)] & & \\
 & & \downarrow & & \downarrow & & \\
 1 & \longrightarrow & PGL(R_i) & \longrightarrow & AE(R_i) & \longrightarrow & {}^t\text{Pic}(R_i) \rightarrow 1 \\
 & & \downarrow & & \downarrow & & \parallel \\
 1 & \longrightarrow & PGL(R_i)/[PGL(R_i)] & \longrightarrow & AE(R_i)/[AE(R_i)] & \longrightarrow & {}^t\text{Pic}(R_i) \rightarrow 1 \\
 & & \downarrow & & \downarrow & & \\
 & & 1 & & 1 & & 
 \end{array}$$

The bottom row is exact by [4, p. 119]. A diagram chase then shows that the map  $j$  is an isomorphism. So  $[AE(R_2)] \rightarrow [AE(R_3)]$  is onto, and  $E$ -surjectivity is true for Az.

(c) The validity of (c) is the content of the remark [3, IX, (5.2), p. 481] of Bass. Verifying (c) along the lines of the proof of Milnor's theorem [3, IX, (5.1), p. 479] for FP is straightforward, so we omit it. If one follows the same proof for Az the only slightly nontrivial point is to show that if  $(A_1, \alpha, A_2) = A$  is in  $\text{Az}(R_1) \times_{\text{Az}(R_3)} \text{Az}(R_2)$ , that is  $A_1 \in \text{Az}(R_1)$ ,  $A_2 \in \text{Az}(R_2)$  and  $\alpha: F_1(A_1) \cong F_2(A_2)$  as  $R_3$ -algebras, then the fibre product  $S(A_1, \alpha, A_2) = S(A)$  of the diagram

$$(4.12) \quad \begin{array}{ccc} S(A) & \longrightarrow & A_2 \\ \downarrow & & \downarrow \\ A_1 & \longrightarrow & F_1(A_1) \longrightarrow F_2(A_2) \end{array}$$

is in  $\text{Az}(R)$ .

One does this by tensoring the diagram (4.12) with the diagram for  $A^0 = (A_1^0, A_2^0, \alpha^0)$  to get a commutative diagram which, after identifying  $A_i \otimes A_i^0$  with  $\text{End}_{R_i}(A_i)$ , becomes

$$(4.13) \quad \begin{array}{ccc} S(A) \otimes S(A^0) & \longrightarrow & \text{End}_{R_2}(A_2) \\ \downarrow & & \downarrow \\ \text{End}_{R_1}(A_1) \longrightarrow F_1(\text{End}_{R_1}(A_1)) & \xrightarrow{\alpha \otimes \alpha^0} & F_2(\text{End}_{R_2}(A_2)) \end{array}$$

On the other hand, if  $B$  is the fibre product in  $\mathbf{FP}$ :

$$\begin{array}{ccc} B & \xrightarrow{\quad} & A_2 \\ \downarrow & & \downarrow \\ A_1 & \xrightarrow{F_1} & F_1 A_1 \xrightarrow{\quad} F_2 A_2 \end{array}$$

then  $\text{End}_R B$  is the fibre product of the corresponding diagram of endomorphism rings.

One shows that  $S(A) \otimes S(A^0)$  is the fibre product in  $\mathbf{P}$ , hence in  $\mathbf{FP}$ , of the diagram (4.13). This follows by observing that  $S(A) \otimes S(A^0)$  is described by a fibre product diagram like (4.13) except that the map  $\alpha \otimes \alpha^0$  is replaced by some unknown function: this by Milnor's theorem [3, IX, (5.1)]; on the other hand  $S(A) \otimes S(A^0)$  is isomorphic (by  $i$ ) to an  $R$ -submodule of the fibre product  $\text{End}_R(B)$  of (4.13). Thus we have two exact sequences and a commutative diagram of  $R$ -modules:

$$\begin{array}{ccccccc} 0 \rightarrow S(A) \otimes S(A^0) & \rightarrow & \text{End}_{R_1}(A_1) \otimes \text{End}_{R_2}(A_2) & \xrightarrow{\gamma_1} & F_2(\text{End}_{R_2}(A_2)) & \rightarrow & 0 \\ & \downarrow i & & \parallel & & & \\ 0 \rightarrow \text{End}_R(B) & \longrightarrow & \text{End}_{R_2}(A_1) \otimes \text{End}_{R_2}(A_2) & \xrightarrow{\gamma_2} & F_2(\text{End}_{R_2}(A_2)) & \rightarrow & 0 \end{array}$$

where  $\gamma_1 = (\alpha \otimes \alpha^0)F_1 - F_2$ , and  $\gamma_2$  is the corresponding map from the fibre product diagram for  $\text{End}_R(A)$ . So there exists an epimorphism  $\beta$  from  $F_2(\text{End}_{R_2}(A_2))$  to itself so that  $\gamma_1 = \beta\gamma_2$ . But since  $F_2(\text{End}_{R_2}(A_2))$  is a finitely generated projective  $R_3$ -module  $\beta$  must be an isomorphism, hence  $i$  is an isomorphism onto  $\text{End}_R(B)$ . By [2, Theorem 3.5]  $S(A)$  is an Azumaya  $R$ -algebra.

The rest of the proof of condition (c) of (4.10) for  $\text{Az}$ , following the lines of the proof of Milnor's theorem in [3], is straightforward and will be omitted. That concludes the proof of (4.3).

Added in proof. Knus and Ojanguren have given in [18] a stronger version of (4.1).

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